



## SAFETY MANAGEMENT CERTIFICATE

**REPUBLIC OF PANAMA**

Issued under the provisions of the  
INTERNATIONAL CONVENTION FOR THE SAFETY OF LIFE AT SEA, 1974, as amended  
Under the authority of the Government of the Republic of Panama  
by NIPPON KAIJI KYOKAI

Name of ship :	<b>NYK DIANA</b>
Distinctive number or letters :	<b>3EOS4</b>
Port of registry :	<b>Panama</b>
Type of ship* :	<b>Other cargo ship</b>
Gross tonnage :	<b>55487</b>
IMO Number :	<b>IMO 9337688</b>
Name and address of the Company :	<b>NYK SHIPMANAGEMENT PTE LTD</b> <b>1 HarbourFront Place, #15-01 HarbourFront Tower One, Singapore 098633</b>
Company identification number :	<b>IMO 1968365</b>

**THIS IS TO CERTIFY THAT** the Safety Management System of the ship has been audited and that it complies with the requirements of the International Management Code for the Safe Operation of Ships and for Pollution Prevention (ISM Code), following verification that the Document of Compliance for the Company is applicable to this type of ship.

This Safety Management Certificate is valid until **13th June 2018** , subject to periodical verification and the Document of Compliance remaining valid.

Completion date of the verification on which this certificate is based: **5th May 2013**

Issued at **Tokyo**

Date of issue **6th August 2015**

Anniversary Date : 13th June



*(Signature)*  
**Yoshinori Kozeki**  
General Manager of Safety Management Systems Department

See Note on the reverse side.

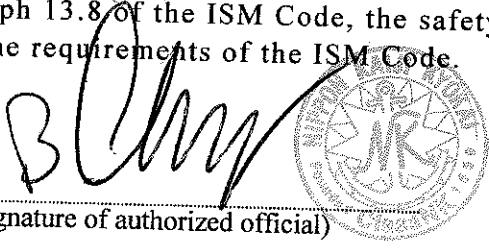
**NIPPON KAIJI KYOKAI**

\* Insert the type of ship from among the following: passenger ship; passenger high-speed craft; cargo high-speed craft; bulk carrier; oil tanker; chemical tanker; gas carrier; mobile offshore drilling unit; other cargo ship.

**ENDORSEMENT FOR PERIODICAL VERIFICATION AND  
ADDITIONAL VERIFICATIONS (IF REQUIRED)**

**THIS IS TO CERTIFY THAT**, at the periodical verification in accordance with regulation IX/6.1 of the Convention and paragraph 13.8 of the ISM Code, the safety management system was found to comply with the requirements of the ISM Code.

**Intermediate Verification**  
(to be completed between the second and the third anniversary date)

Signed:   
(Signature of authorized official)

Place: Staten Island, NY, USA

Date: 05 April 2016

**Additional Verification**

Signed: \_\_\_\_\_  
(Signature of authorized official)

Place : \_\_\_\_\_

Date : \_\_\_\_\_

**Additional Verification**

Signed: \_\_\_\_\_  
(Signature of authorized official)

Place : \_\_\_\_\_

Date : \_\_\_\_\_

**Additional Verification**

Signed: \_\_\_\_\_  
(Signature of authorized official)

Place : \_\_\_\_\_

Date : \_\_\_\_\_

**Note:**  
This certificate has been rewritten because of the change of the ship's gross tonnage.

**ENDORSEMENT WHERE THE RENEWAL VERIFICATION HAS BEEN COMPLETED AND PART B 13.13 OF THE ISM CODE APPLIES**

The ship complies with the relevant provisions of part B of the ISM Code, and the Certificate should, in accordance with part B 13.13 of the ISM Code, be accepted as valid until .....

Signed: .....  
(Signature of authorized official)

Place: .....

Date : .....

**ENDORSEMENT TO EXTEND THE VALIDITY OF THE CERTIFICATE UNTIL REACHING THE PORT OF VERIFICATION WHERE PART B 13.12 OF THE ISM CODE APPLIES OR FOR A PERIOD OF GRACE WHERE PART B 13.14 OF THE ISM CODE APPLIES**

This Certificate should, in accordance with part B 13.12 or part B 13.14 of the ISM Code, be accepted as valid until .....

Signed: .....  
(Signature of authorized official)

Place: .....

Date : .....

Total Sheets			
MNC	NCN	OBN	QAB
-	-	1	1

Record No. <b>16NYM0017</b>	Class <b>NK</b>	Class No. <b>080526</b>	Flag <b>PANAMA</b> <input type="checkbox"/> Changed
Ship's Name <b>NYK DIANA</b> <input type="checkbox"/> Changed		IMO No. <b>9337688</b>	
Ship's Type <b>Other cargo ship (CONTAINER CARRIER)</b>		Gross Tonnage <b>55487</b>	
Port of Registry <b>Panama</b>		Distinctive No./Letters <b>3E0S4</b>	
Company's Name <b>NYK SHIPMANAGEMENT PTE LTD</b>			
Company's Address <b>1 HarbourFront Place, #15-01 HarbourFront Tower One, Singapore 098633</b>			
Place of Audit <b>STATEN ISLAND, NY, USA</b>			
First Date of Audit Day Month Year <b>0 5 0 4 1 6</b>		Last Date of Audit Day Month Year <b>0 5 0 4 1 6</b>	

Type of Audit	TCMS	Temporary Suspension	Certificate				Short term	Endorsed
			Interim SMC Issued	Interim SMC Extended	Validity	Validity		
<input type="checkbox"/> Initial Audit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	(	)	<input type="checkbox"/>		
<input type="checkbox"/> Audit for issuing INTERIM SMC	<input type="checkbox"/>		<input type="checkbox"/>	(	)			
<input type="checkbox"/> Renewal Audit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	(	)	<input type="checkbox"/>	<input type="checkbox"/>	
<input checked="" type="checkbox"/> Intermediate Audit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	(	)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
<input type="checkbox"/> Additional Audit <input type="checkbox"/> for DNC	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	(	)	<input type="checkbox"/>	<input type="checkbox"/>	

Certificate No. of SMC : **15HO-1551SMC** (Indicate Cert. No. of SMC/DOC, including Interim/Short-term)

Certificate No. of DOC : **12HO-1231PANDOC** DOC issued by **NK**

NK Company ID Number : **SMS0729**

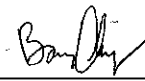

### Audit Summary

The undersigned have carried out the above audit according to the Rules and found the Shipboard Safety Management System to be in compliance with the requirements of the ISM Code\* / ~~subject to correction of the non-conformities mentioned in Form MNC and/or NCN\*/the provisions of paragraph 14.4 of the ISM Code\*~~

Non-conformity (NC) and/or Downgraded Non-conformity (DNC) exist.  
Corrective Action Plan (Form CAP) shall be submitted by \_\_\_\_\_

DNC exists. An Additional Audit shall be conducted by \_\_\_\_\_

Audit has been temporarily suspended due to the reasons stated on Form QAB.

 ( <b>BARRY ISRALSKY</b> )	 Auditor No. <b>7,96</b>	Auditor No. _____ Office _____
Auditor(s) to NIPPON KAIJI KYOKAI <b>New York</b> Office		

\* Delete as appropriate

Record No.

13PSM0063

Sheet No.

1 / 1

Title of the SMS Manual: NYK SMS Manual

Latest revision date: 30 March 2012

Special requirements specified by the Flag State(s) are satisfied:  Yes /  No /  No requirements\* / N.A.\*

\* delete as appropriate

(Specific Information)

At the request of the Company, renewal audit for shipboard SMC has been conducted as mentioned in Form QAS with record No.13PSM0063 with satisfactory results.

1. The audit was conducted covering all elements of the ISM Code by sample examination of documents related to the SMS, by interviewing with crew, and by shipboard tour.
2. Internal audit was conducted by the shore staff of the company on 20 October 2012.
3. It was audited that Risk Assessment is implemented according to the SMC procedure established by the Company.
4. It was audited that the ship ensure that the procedure and criteria for extension of internal audit are introduced in SMS.
5. The audit was completed with satisfaction and SMC valid for five months was issued this time.

- The end -